

Application Form STP / SWP



Transaction Charges for Applications through Distributors only (Please section Instruction VII)

<input type="checkbox"/> I confirm that I am First time investor across Mutual Funds. (Rs.150 deductible as Transaction Charge and payable to the Distributor)	<input type="checkbox"/> I confirm that I am an existing investor across Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)
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In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase / Subscription amount and payable to the distributor. Units will issued against the balance amount invested.

Please read the instructions before filling the Application Form

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Baroda Mutual Fund)

Distributor / Broker ARN	Sub-Broker Code	Employee Code
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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

(Please note that the applicant details and mode of holding are as per the existing Folio Number)

Folio Number

Name of Sole / First Unit Holder

PAN DETAILS (Mandatory) *If the First Applicant is a Minor, please state the details of Guardian. # Please attach PAN proof.

First/Sole Applicant* Second Applicant Third Applicant

STP DETAILS

	Transfer From (Transferor Scheme)			Transfer to (Transferee Scheme)		
Name of Scheme						
Plan						
Option						
Frequency (Please tick any one)	<input type="checkbox"/> Daily STP	<input type="checkbox"/> Weekly STP	<input type="checkbox"/> Fortnight STP	<input type="checkbox"/> Monthly STP (Default)	<input type="checkbox"/> Quarterly STP	
STP Date	Daily STP Daily Interval (all Business Days)	Weekly STP 1st, 7th, 15th & 25th	Fortnightly STP 1st, 7th, 15th & 20th	Monthly and Quarterly STP (Please tick any one only)		
				<input type="checkbox"/> 1st of the month	<input type="checkbox"/> 7th of the month	<input type="checkbox"/> 10th of the month
				<input type="checkbox"/> 15th of the month	<input type="checkbox"/> 25th of the month	<input type="checkbox"/> 28th of the month
Enrolment Period	From		To			
Amount of Transfer per	<input type="checkbox"/> Day <input type="checkbox"/> Week <input type="checkbox"/> Month <input type="checkbox"/> Quarter	Fixed Amount		OR <input type="checkbox"/> Capital Appreciation (not for Daily STP)		
<input type="checkbox"/> No of Units	<input type="checkbox"/> Dividend					
Contact Details	STD Code	Tel. Off.	Extn.			
Mobile		Tel. Resi	Fax			
Email ID						

If you wish to receive all communication from us via post or other means, please tick here

Kindly ensure that the email address and telephone numbers mentioned above are those of the First Untholder. These details shall be used for all communications.

SYSTEMATIC WITHDRAWAL PLAN DETAILS

Scheme / Plan / Option						
Type of SWP	<input type="checkbox"/> Fixed SWP	<input type="checkbox"/> Capital Appreciation SWP	Amount:			
	<input type="checkbox"/> No of Units	<input type="checkbox"/> Dividend				
Frequency (Please tick)	<input type="checkbox"/> Weekly SWP	<input type="checkbox"/> Monthly SWP	<input type="checkbox"/> Quarterly SWP (Calendar Quarter i.e January, April, July and October)			
SWP Date	Weekly SWP (Please tick any one only)		Monthly and Quarterly SWP (Please tick any one only)			
	<input type="checkbox"/> 1st	<input type="checkbox"/> 7th	<input type="checkbox"/> 15th	<input type="checkbox"/> 10th of the month	<input type="checkbox"/> 15th of the month	<input type="checkbox"/> 25th of the month
				<input type="checkbox"/> 28th of the month		
Scheme / Plan / Option	<input type="checkbox"/> Regular	From		To		
	<input type="checkbox"/> Perpetual	From		To	0 1 / 2 0 9 9	

DECLARATION AND SIGNATURES

I/We have read and understood the contents of the offer document/s to the Scheme/s including the sections on "Prevention of Money Laundering and Know Your Customers". I/We hereby apply to the Trustee of the Baroda Mutual Fund for units of the Scheme as indicated above ["the Scheme"] and agree to abide by the terms and conditions, of the Scheme/s and such other schemes into which my/our investment may be moved pursuant to any instalments received from me/us to sweep/switch the units as applicable to my/our investment including any further transaction under the Scheme. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We further declare that the amount invested by me/us in the Scheme/s is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules and regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time.

I/We further confirm that I/We have the express authority from the relevant constitution to invest in the units of the Scheme and the Baroda Mutual Fund, its Trustee and the Mutual Fund would not be responsible if the investment is ultra vires the relevant constitution.

I/We authorize AMC to reject the application, reverse the units, credited, restrain me/us from making any further investment in any of the Scheme/s of Baroda Mutual Fund, recover / debit my / our folio(s) with the penal interest and take any appropriate action against me / us in case the cheque(s) / payment instrument is / are returned unpaid by my / our bank for any reason whatsoever.

I/We hereby further agree that AMC can directly credit all the dividend pay outs and redemption amount to my / our

bank details given herein, where AMC has such arrangement with my / our Bank.

Instructions :

- * STP / SWP - Payment should reach to ISC before 7 working days from the start of first STP / SWP.
- * Loads applicable as per scheme.
- * For SWP - in case the payout date is not mentioned, the payroll will continue till the balance units reduced to zero.

For SIP - in case STP Period or end date is not given, STP units / amount will get switched out till it meets the minimum investment amount in switched in scheme.

* In case the from date is not mentioned, it is treated as 1st day of the following month.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only :

I/We Confirm that I am / we are Non - Residents of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my / our Non-Residents External / Ordinary Account / FCNR Account.

Signature / Thumb Impression of Sole / 1st Applicant / POA Holder	Signature / Thumb Impression of 2nd Applicant / POA Holder	Signature / Thumb Impression of 3rd Applicant / POA Holder
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TERMS AND CONDITIONS

- If the day for SWP is not selected or in case of any ambiguity, 7th shall be treated as a default date.
- Withdrawal must be for a minimum amount of ₹1,000/- or in multiple of ₹1/- thereafter in Quarterly SWP.
- Investor will have to opt for a minimum of 6 transactions under weekly/ monthly SWP and 4 transactions under Quarterly SWP.

ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)

Investor Name

Folio No. Dated:

STP / SWP

Scheme / Plan / Option / Sub-Option To (STP Only)

Amount ₹

Signature, Stamp & Date